



Electronic Filing System (EFS) Data
Electronic Patent Application Submission
USPTO Use Only

EFS ID: 58638
Application ID: 09885865
Title of Invention: METHODS AND SYSTEMS FOR
RECONCILING A FORWARD
CONVERSION SECURITIES
STRATEGY
First Named Inventor: Shayne Young
Domestic/Foreign Application: Domestic Application
Filing Date: 2001-06-19
Effective Receipt Date: 2004-04-07
Submission Type: Information Disclosure
Statement
Filing Type:
Confirmation number: 4988
Attorney Docket Number: 5226-01700



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APR 18 2004

GROUP 3600

Total Fees Authorized:

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TRANSMITTAL

Electronic Version v1.1

Stylesheet Version v1.1.0

Title of Invention	METHODS AND SYSTEMS FOR RECONCILING A FORWARD CONVERSION SECURITIES STRATEGY									
Application Number:	09/885865									
Date:	2001-06-19	RECEIVED APR 13 2004 GROUP 3600								
First Named Applicant:	Shayne Young									
Confirmation Number:	4988									
Attorney Docket Number:	5226-01700									
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<table border="1"><thead><tr><th>Submitted by:</th><th>Elec. Sign.</th><th>Sign. Capacity</th></tr></thead><tbody><tr><td>Eric B Meyertons Registered Number: 34876</td><td>Eric B Meyertons</td><td>Attorney</td></tr></tbody></table>			Submitted by:	Elec. Sign.	Sign. Capacity	Eric B Meyertons Registered Number: 34876	Eric B Meyertons	Attorney		
Submitted by:	Elec. Sign.	Sign. Capacity								
Eric B Meyertons Registered Number: 34876	Eric B Meyertons	Attorney								
<table><tr><td>Documents being submitted</td><td>Files</td></tr><tr><td>us-ids</td><td>5226-Feb2004-usidst.xml</td></tr><tr><td></td><td>us-ids.dtd</td></tr><tr><td></td><td>us-ids.xsl</td></tr></table>			Documents being submitted	Files	us-ids	5226-Feb2004-usidst.xml		us-ids.dtd		us-ids.xsl
Documents being submitted	Files									
us-ids	5226-Feb2004-usidst.xml									
	us-ids.dtd									
	us-ids.xsl									
Comments										



ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of
Invention

METHODS AND SYSTEMS FOR RECONCILING A
FORWARD CONVERSION SECURITIES STRATEGY

Application Number: 09/885865

Confirmation Number: 4988

First Named Applicant: Shayne Young

Attorney Docket Number: 5226-01700

Art Unit: 2161

Examiner: Unknown Unknown

Search string: (5809483 or 5193056 or 4752877 or 6415267
or 6029146 or 6035287 or 5297031 or 5946666
or 6401105 or 6408282 or 6510419 or
20020188548 or 20020178099 or 20020194110
or 20030065598 or 20030083974 or
20030041006).pn.



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US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
	1	5809483	1998-09-15	Broka et al.			
	2	5193056	1993-03-09	Boes			
	3	4752877	1988-06-21	Roberts et al.			
	4	6415267	2002-07-02	Hagan			
	5	6029146	2000-02-22	Hawkins et al.			
	6	6035287	2000-03-07	Stallaert et al.			
	7	5297031	1994-03-22	Guterman et al.			
	8	5946666	1999-08-31	Nevo et al.			
	9	6401105	2002-06-04	Carlin et al.			
	10	6408282	2002-06-18	Buist			
	11	6510419	2003-01-21	Gatto			

US Published Applications

Note: Applicant is not required to submit a paper copy of cited US Published Applications

init	Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass
	1	20020188548	2002-09-15	Bunda	A1		
	2	20020178099	2002-11-28	Young	A1		
	3	20020194110	2002-12-19	Young	A1		
	4	20030065598	2003-04-03	Bunda	A1		
	5	20030083974	2003-05-01	Bunda	A1		
	6	20030041006	2003-02-27	Bunda	A1		

Signature

Examiner Name	Date